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# UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/888,699.	06/24/2001	Elias Bergan	CH920000036US1(590.060)	8705	
35195	7590 08/31/2004		EXAMI	NER	
FERENCE & ASSOCIATES 400 BROAD STREET PITTSBURGH, PA 15143			BELL, M	BELL, MELTIN	
			ART UNIT	PAPER NUMBER	
,			2121		
			DATE MAILED: 08/31/2004	DATE MAILED: 08/31/2004	

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)		
Office Action Comments	09/888,699	BERGAN ET AL.		
Office Action Summary	Examiner	Art Unit		
	Meltin Bell	2121		
The MAILING DATE of this communication Period for Reply	appears on the cover sheet with t	he correspondence address		
A SHORTENED STATUTORY PERIOD FOR RE THE MAILING DATE OF THIS COMMUNICATIO  - Extensions of time may be available under the provisions of 37 CFI after SIX (6) MONTHS from the mailing date of this communication  - If the period for reply specified above is less than thirty (30) days, a  - If NO period for reply is specified above, the maximum statutory pe  - Failure to reply within the set or extended period for reply will, by st Any reply received by the Office later than three months after the rearned patent term adjustment. See 37 CFR 1.704(b).	ON. R 1.136(a). In no event, however, may a reply low. a reply within the statutory minimum of thirty (30 striod will apply and will expire SIX (6) MONTHS tatute, cause the application to become ABAND	be timely filed  ) days will be considered timely.  from the mailing date of this communication.  ONED (35 U.S.C. § 133).		
Status				
1) Responsive to communication(s) filed on 1	2 May 2004.			
	This action is non-final.			
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is				
closed in accordance with the practice und	ler <i>Ex parte Quayle</i> , 1935 C.D. 11	1, 453 O.G. 213.		
Disposition of Claims				
4) ⊠ Claim(s) <u>1-36</u> is/are pending in the applicate 4a) Of the above claim(s) is/are with 5) □ Claim(s) is/are allowed. 6) ⊠ Claim(s) <u>1-36</u> is/are rejected. 7) □ Claim(s) is/are objected to. 8) □ Claim(s) are subject to restriction are	drawn from consideration.			
Application Papers				
9)☐ The specification is objected to by the Exam 10)☑ The drawing(s) filed on 24 June 2004 is/are Applicant may not request that any objection to Replacement drawing sheet(s) including the col 11)☐ The oath or declaration is objected to by the	e: a) accepted or b) objected the drawing(s) be held in abeyance.	See 37 CFR 1.85(a). s objected to. See 37 CFR 1.121(d).		
Priority under 35 U.S.C. § 119				
12) Acknowledgment is made of a claim for force a) All b) Some * c) None of:  1. Certified copies of the priority docum 2. Certified copies of the priority docum 3. Copies of the certified copies of the application from the International Bu * See the attached detailed Office action for a	nents have been received. nents have been received in Appl priority documents have been rec ireau (PCT Rule 17.2(a)).	ication No ceived in this National Stage		
Attachment(s)	45 T	many (DTO 442)		
<ol> <li>Notice of References Cited (PTO-892)</li> <li>Notice of Draftsperson's Patent Drawing Review (PTO-948</li> <li>Information Disclosure Statement(s) (PTO-1449 or PTO/SE Paper No(s)/Mail Date 5/12/04.</li> </ol>	Paper No(s)/M	mary (PTO-413) ail Date mal Patent Application (PTO-152)		

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#### **DETAILED ACTION**

This action is responsive to application **09/888,699** filed 06/24/2001 as well as the Information Disclosure Statement, Specification Corrections and Amendment filed 5/12/04. Claims 1-36 filed by the applicant have been entered and examined. An action on the merits of claims 1-36 appears below.

# **Priority**

Applicant is advised of possible benefits under 35 U.S.C. 119(a)-(d), wherein an application for patent filed in the United States may be entitled to the benefit of the filing date of a prior application filed in a foreign country.

Acknowledgment is made of applicant's claim for foreign priority based on application 00113438.6 filed in Europe on **6/24/00**.

# Claim Interpretation

Office personnel are to give claims their broadest reasonable interpretation in light of the supporting disclosure. In re Morris, 127 F.3d 1048, 1054-55, 44 USPQ2d 1023, 1027-28 (Fed. Cir. 1997). Limitations appearing in the specification but not recited in the claim are not read into the claim. > E-Pass Techs., Inc. v. 3Com Corp., 343 F.3d 1364, 1369, 67 USPQ2d 1947, 1950 (Fed. Cir. 2003) (claims must be interpreted "in view of the specification" without importing limitations from the specification into the claims unnecessarily).< In re

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Prater, 415 F.2d 1393, 1404-05, 162 USPQ 541, 550-551 (CCPA 1969). See also In re Zletz, 893 F.2d 319, 321-22, 13 USPQ2d 1320, 1322 (Fed. Cir. 1989) ("During patent examination the pending claims must be interpreted as broadly as their terms reasonably allow.... The reason is simply that during patent prosecution when claims can be amended, ambiguities should be recognized, scope and breadth of language explored, and clarification imposed.... An essential purpose of patent examination is to fashion claims that are precise, clear, correct, and unambiguous. Only in this way can uncertainties of claim scope be removed, as much as possible, during the administrative process.").

## Claim Rejections - 35 USC § 101

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Claims 1-36 stand rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter. The language of the claims (e.g. "network", "objects", "pointers", "units", "modules", "element", "connection") raise a question as to whether the claims are directed merely to an abstract idea that is not tied to a technological art, environment or machine which would result in a practical application producing a concrete, useful, and tangible result to form the basis of statutory subject matter under 35 U.S.C. 101. For example, if claim 1 was amended to recite a computer-implemented method, it will be statutory in

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most cases since use of technology permits the function of the descriptive material to be realized.

#### RESPONSE TO APPLICANTS' AMENDMENT REMARKS

## **Priority**

Applicant(s) argue(s) that the differences set forth in the prior office action, such as the shading of item 43.2 in Fig. 4F, are of no moment (Amendment REMARKS page 14, paragraph 2).

Given the clarification that network elements can have more than one representation (Amendment REMARKS page 15, paragraph 1), Applicant's arguments are persuasive.

# Information Disclosure Statement

The information disclosure statement filed 5/12/04 fails to comply with the provisions of 37 CFR 1.97, 1.98 and MPEP § 609 because of missing or inaccurate information in the listing:

- An English translation of the Schult reference
- The DE 1990082041.9 reference

It has been placed in the application file. Applicant is advised that the date of any re-submission of any item of information contained in this information disclosure statement or the submission of any missing element(s) will be the date of submission for purposes of determining compliance with the requirements

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based on the time of filing the statement, including all certification requirements for statements under 37 CFR 1.97(e). See MPEP § 609 ¶ C(1).

## **Drawings**

Applicant(s) argue(s) that the drawings objections are obviated by a review of the application (Amendment REMARKS page 15, paragraph 1).

Applicant's arguments are persuasive and the objections are withdrawn.

# Specification

Applicant(s) argue(s) that the specification has been amended to correct a number of the asserted informalities and that the language on page 11, line 7 is appropriate (Amendment REMARKS page 15, paragraph 2).

Amendments to the specification (page 18, line 5, page 20, line 15, page 21, line 4, page 22, line 9, page 34, lines 4-8) have been entered and examined. Applicant's arguments are persuasive and the remaining specification objections are withdrawn.

Claim Rejections - 35 USC § 112, first paragraph and 35 USC § 101

Applicant(s) requests clarification on the 35 USC 101 and 112, first paragraph rejections of the prior office action (Amendment REMARKS page 16, paragraph 1).

Clarification is given above for the 35 USC 101 rejections. The 35 USC 112, first paragraph rejections are withdrawn.

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# Claim Rejections - 35 USC § 102 and 35 USC § 103

The rejections of the claims under 35 USC 102 and 103 are withdrawn.

#### Conclusion

**THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure:

- Oatman et al; USPN 5,995,955; "System and method for expert system analysis using quiescent and parallel reasoning and set structured knowledge representation"
- Roche et al; USPN 5,535,121; "System for correcting auxiliary verb sequences"

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Any inquiry concerning this communication or earlier communications from the Office should be directed to Meltin Bell whose telephone number is 571-272-3680. This Examiner can normally be reached on Mon - Fri 7:30 am - 4:30 pm.

If attempts to reach this Examiner by telephone are unsuccessful, his supervisor, Anthony Knight, can be reached on 571-272-3687. The fax phone number for the organization where this application or proceeding is assigned is (703) 872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-305-3900.

MB JOH M.

Anthony Knight
Supervisory Patent Examiner
Group 3600